

USA Patriot Act Notice

Important information about procedures for opening a new account or establishing a new customer relationship.

To help the government fight the funding of terrorism and money laundering activities, Federal law requires all financial institutions to obtain, verify and record information that identifies each individual or institution that opens an account or establishes a customer relationship with De La Rosa & Co.

This means that De La Rosa & Co. is required to ask for your name, address, date of birth (as applicable) and other identification information. This information will be used to verify your identity. As appropriate, we may, in our discretion, ask for additional documentation or information. If all required documentation or information is not provided, De La Rosa & Co. may be unable to open an account or establish a relationship with you.